



## Topics related to lattices

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# Part 0: Lattices

# Dual lattices

## Dual lattice - informal definition

The dual  $\Lambda^*$  of a lattice  $\Lambda$  is the set of all points in the span of  $\Lambda$  whose inner product with any point in  $\Lambda$  is an integer.

### Remark

- 1 The dual of a lattice is itself a lattice.
- 2 Many properties of the dual lattice can be deduced from the initial lattice.

# Banaszczyk's transference theorems

## Banaszczyk's transference theorems

Given a lattice  $\Lambda$  of rank  $n$  and its dual lattice  $\Lambda^*$ ,

$$1 \leq \lambda_1(\Lambda)\lambda_n(\Lambda^*) \leq n.$$

# Complexity results on lattices

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## The main objective

- 1 Most lattice problems are supposed to be hard.
- 2 Prove that many lattice problems can be related/reduced one to each other.

## Examples results

- 1 Search SVP  $\Leftrightarrow$  Optimization SVP  $\Leftrightarrow$  Decisional SVP.
- 2 Search  $\text{SVP}_\gamma \Rightarrow$  Optimization  $\text{SVP}_\gamma \Leftrightarrow$  Promise  $\text{SVP}_\gamma$ .
- 3 Search  $\text{SVP}_\gamma$  is NP-hard (whithin some constant  $\gamma$ ).

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# Part I: Lattice reduction

# Lattice reduction algorithms (1)

## The main objective

Given an integer lattice basis  $B$ ,  
find an equivalent basis  $B'$  with good properties (e.g short  
vectors, nearly orthogonal vectors, . . . ).



## Lattice reduction algorithms (2)

In 2 dimensions:

### Lagrange-Gauss algorithm

This algorithm works the same way than the Euclidean algorithm (but on vectors) to reduce the lattice basis. It is the only algorithm that will output **the** shortest basis.

In higher dimensions:

### LLL algorithm

This algorithm works essentially the same way than the Lagrange-Gauss algorithm and calls repeatedly a subroutine that reduces pairs of vectors. It outputs a short basis (the vectors are *LLL-reduced*). It was the first lattice reduction algorithm and is still one of the most used ones.

### BKZ algorithm

This algorithm works essentially the same way than LLL but calls a subroutine to reduce blocks of  $n$  vectors instead of 2.

# Lattice reduction algorithms (3)

## Advanced algorithms

- 1 Random sampling reduction (RSR)
- 2 Primal dual reduction (PDR)
- 3 Quantum search reduction (QSR)

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# Part II: SVP

# Finding short vectors (1)

## The main objective

Given an integer lattice basis  $B$ ,  
find the shortest vector.

## Finding short vectors (2)

### AKS algorithm

Through a given sieving process (i.e. choosing some lattice points at "random" and then sieving out the "good" ones), this algorithm finds **the** shortest vector of a the lattice of a given basis. This algorithm is one of the fastest **deterministic** algorithms to do so (for a given family of lattices).

### Exploit Mordell's inequality

LLL can be seen as an algorithmic version of Hermite's inequality on Hermite's constant (which leads to LLL-reducedness). There are also other algorithms with different starting point, namely an algorithmic version of Mordell's inequality on Hermite's constant.

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# Part III: CVP

## The main objective

Given an integer lattice basis  $B$  and a vector  $t$ ,  
find the closest vector  $v \in \mathcal{L}(B)$  to  $t$ .

# Babai's nearest plane algorithm

## Babai's nearest plane algorithm

This algorithm works by identifying recursively the nearest lattice hyperplane to  $t$  (and reducing the dimension of the considered space by 1 at each iteration) until it ends up at a hyperplane of dimension 0 (i.e. a point).



# Part IV: Applications

# Coppersmith's attack on RSA

## Coppersmith's attack on RSA

Using LLL, Don Coppersmith designed an algorithm to find small solutions to small degree polynomials. Later, he used his algorithm to attack RSA schemes with low public exponents.

# Reduction to the hardness of lattice problems

Several seemingly unrelated problems can be reduced to the hardness of lattice problems:

## Integer programming (IP)

Decide whether there exists an integer solution to a given set of  $m$  rational inequalities on  $n$  variables.

## Short integer solutions (SIS)

Given  $m$  vectors  $v_1, \dots, v_m \in \mathbb{F}_q^n$ ,  
find nontrivial small  $z_1, \dots, z_m \in \mathbb{Z}$  such that  $z_1 v_1 + \dots + z_m v_m = 0$ .

## Learning with errors (LWE)

Given  $m$  vectors  $v_1, \dots, v_m \in \mathbb{F}_q^n$  and "noisy" inner products  $w_i = \langle s, v_i \rangle + e_i$  where  $e_i$  are random errors satisfying some bounds (exact values are not known),  
find  $s \in \mathbb{Z}_q^n$ .

# Cryptographic schemes

Several cryptographic schemes are based on the hardness of lattice problems:

## Ajtai-Dwork Encryption

Ajtai gave the first worst-case to average case reduction for lattice problems. Then, he constructed a cryptographic one-way function using SIS-problem reduced to the hardness of worst-case lattice problems and later, together with Dwork, he constructed a lattice-based public-key encryption scheme.

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This is the first cryptographic construction using polynomial rings, which can be best interpreted in terms of algebraically structured lattices.

## Regev's improvement to Ajtai-Dwork

Regev replaced essentially SIS in Ajtai's work with LWE which resulted in simpler algorithms and analysis as well as in a better security notion.

There are many more applications  
of lattices!

If you have one in mind, please let us know.

## References

### References:

- Oded Regev's course notes "Lattices in Computer Science" (from 2004) from the Tel Aviv University that are accessible via the link: [https://cims.nyu.edu/~regev/teaching/lattices\\_fall\\_2009/](https://cims.nyu.edu/~regev/teaching/lattices_fall_2009/)
- Daniele Micciancio's course notes "Lattices Algorithms and Applications" (from 2010) from the University of California San Diego that are accessible via the link: <http://cseweb.ucsd.edu/classes/wi10/cse206a/>
- Chi's, Choi's, Kim's and Kim's lecture notes "Lattice Based Cryptography for Beginners" accessible via the link: <https://eprint.iacr.org/2015/938.pdf>
- Steven D. Galbraith's book "Mathematics of public key cryptography".